

Regulation Asia Recognises Droit for Trade Reporting Solution

Singapore/Hong Kong, 9 December 2021 – Droit Financial Technologies was recognised as ‘*One to Watch*’ in *Trade Reporting* in the *4th Regulation Asia Awards for Excellence 2021* at an online ceremony on 9 December 2021.

Droit was recognised for its *Adept* solution, a high-performance platform that allows capital market participants to evaluate thousands of automated trading decisions per second, enabling intelligent pre-trade, post-trade and reporting decision-making in real-time. The solution automatically codifies regulatory requirements, market microstructure and a firm’s internal policies into machine-executable logic – thereby reducing complexity, operational inefficiencies, and the impacts of cross-jurisdictional fragmentation.

Adept streamlines trade reporting workflows by automatically determining which transactions are in-scope for reporting, incorporating entity and instrument reference data. Droit’s Report Generation tool generates the in-scope reports and validates them against regulatory and reporting venue specifications prior to outbound submission. The solution also has an industrialised process for ingesting new or updated regulations.

“The platform takes a huge burden off clients with its ability to automate decisions and streamline regulatory compliance, not only in reporting but for other pre-trade and post-trade regulatory obligations – and in real-time,” a judge on the awards panel said. “The solution also allows for seamless integration with existing internal policies, trading and operational systems.”

The judges also highlighted the solution’s ability to document each logic step and provide a complete audit record, which is traceable to annotated, digitised regulatory text. “This helps ensure the decisions taken are correct and can be evidenced to internal and external stakeholders including regulators,” said one judge.

Adept offers coverage of 24 reporting regimes including Australia, China, Hong Kong, Japan, Korea and Singapore. Droit has recently established operations in Australia and is planning to establish a physical presence in Singapore and Hong Kong in the next 12 months, while also building on existing Japanese client relationships.

About the Regulation Asia Awards for Excellence 2021

The *Regulation Asia Awards for Excellence* recognises financial institutions, technology companies, legal and consulting firms, exchanges and other players that help to ensure the highest standards for regulatory compliance are upheld in the financial industry. Each year, senior industry practitioners serve on a [judging panel](#) to help assess and score each submission to determine the winning entrants.

For a full list of the award 2021 winners, visit www.regulationasia.com/awards.

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